ELECTRIC AND ACOUSTIC DYNAMIC RANGES AND LOUDNESS GROWTH FUNCTIONS: A WITHIN-SUBJECT COMPARISON IN COCHLEAR IMPLANT PATIENTS

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Abstract

Objectives: (1) To estimate the dynamic range (DR) for electric stimulation by means of acoustic and electric loudness matching; (2) to characterize loudness growth as a function of electric stimulus amplitude across the DR.

Design: Prospective study.

Study Design: Three cochlear implant subjects, with normal hearing in the contralateral ear, participated in this study (ME-28, ME-29, ME-30). For each electrode, the upper limit of electric stimulation was loudness matched to three different types of pitch-matched acoustic stimuli. Within the electric DR, the 25%, 50%, and 75% points were loudness matched to the acoustic stimuli to create loudness growth functions.

Results: ME-28’s DRs for electric stimulation were constant at 17–18 dB across electrodes. ME-29’s and ME-30’s DRs were narrower, at around 10 dB. For ME-28 and ME-30, none of the corresponding DRs for matched acoustic stimuli exceeded 50 dB. Only one of ME-29’s DRs exceeded 35 dB. Loudness growth functions showed a tendency for basal electrodes to have gentler overall slopes. For relatively high proportions of the DR, the three different types of acoustic stimuli tend to have similar loudness growth slopes. However at low levels, the fewer harmonics, the steeper the loudness growth.

Conclusions: There is qualitative and quantitative agreement but patterns of variation can also be observed.

Key words: cochlear implant • single-sided deafness • dynamic range • loudness growth

GAMAS DINÁMICAS ELÉCTRICAS Y ACÚSTICAS Y FUNCIONES DE CRECIMIENTO DE LA INTENSIDAD SONORA: UNA COMPARACIÓN INTRASUJETO EN PACIENTES CON IMPLANTE COCLEAR

Resumen

Objetivos: (1) Estimar la gama dinámica (GD) para la estimulación eléctrica mediante la igualdad de la intensidad acústica y eléctrica; (2) caracterizar el crecimiento de la intensidad como una función de la amplitud de un estimulo eléctrico a lo largo de la GD.

Plan: Estudio prospectivo.

Plan del estudio: Tres sujetos con implante coclear, con audición normal en el oído contralateral, participaron en el estudio (ME-28, ME-29, ME-30). Para cada electrodo, el límite superior de estimulación eléctrica era la intensidad igualada a tres tipos diferentes de estímulos acústicos con igualdad de tono. Dentro de la GD eléctrica, los puntos al 25%, 50% y 75% fueron igualados en intensidad a los estímulos acústicos para crear funciones de crecimiento de intensidad.
Background

Cochlear implant (CI) speech processors compress a wide dynamic range (DR) of sounds into a much smaller electric DR. The DR of CI recipients is markedly reduced compared with that of normal hearing individuals. Specifically, the psychophysical DR of CI recipients is much smaller (6 to 30 dB HL) compared to that of normal hearing listeners, which is approximately 120 dB HL for acoustic stimuli. The discrepancy between acoustic and electric DRs requires that signal processing maps the amplitude of the acoustic signal onto the more limited electric DR. Potential implications of such compression are suboptimal speech recognition, particularly in noise, and negative effects on sound quality. Currently there is little agreement on the shape of the loudness growth function in electric hearing. If the acoustic-to-electric amplitude mapping fails to maintain appropriate loudness growth within each electrode, important speech cues may be lost. Previous studies have shown that the best speech recognition occurs when a normal loudness growth function is restored (Holden et al., 2007; Davidson et al., 2009). Distortions to the normal loudness growth function result in a moderate, but significant drop in speech perception performance (Boëx et al., 1997; Fu & Shannon, 1998). In all current clinical systems, the default conversion of acoustic amplitude to electric stimulus amplitude (loudness mapping) is done by mapping functions using a logarithmic shape, and the same mapping law is applied to all channels.

One approach to estimate the optimal acoustic-to-electric amplitude mapping is to directly compare acoustic and electric loudness growth functions. CI users who have residual hearing in the ear contralateral to the implanted ear provide a good model for comparisons of acoustic-to-electric amplitude mapping. Eddington et al. (1978) balanced...
loudness between acoustic and electric stimulations in a unilaterally deaf Ineraid CI patient (the device was previously manufactured by Symbion, Inc., of Salt Lake City, UT, and then by Smith & Nephew Richards, Inc., of Bartlett, TN, but it is no longer manufactured). They found that the acoustic level (in dB SPL) was linearly related to the electric amplitude in mA. A similar logarithmic relation was also observed in another Ineraid user with hearing thresholds less than 50 dB HL for frequencies less than 500 Hz (Dorman et al., 1993). The same was observed in three auditory brainstem implant recipients who had substantial acoustic hearing in one ear (Zeng & Shannon, 1992). Zeng & Shannon argued that this logarithmic acoustic–electric loudness relation is due to the loss of the implanted cochlea’s normal logarithmic compression. Based upon this linear relationship between acoustic amplitude (in dB SPL) and electric current (in mA), Zeng and Shannon proposed an exponential model of loudness growth in electric stimulation. The data from these previous studies suggest that loudness growth in CIs could be described by a power function for lower frequencies and an exponential function for high frequencies (Zeng & Shannon, 1994). However, Hoth (2007) showed that CI recipients demonstrate no systematic dependence of the shape and the steepness of the growth function on electrode position.

The current study evaluates electric-acoustic amplitude mapping in a unique subject group with normal hearing in the non-implanted ear. The fact that these subjects have normal hearing in the non-implanted ear makes them especially suitable for comparing electric-acoustic stimuli, as there is little or no influence of hearing impairment in the non-implanted ear.

### Methods

#### Subjects

Three subjects were included in this study. All three participated in a larger study investigating the effectiveness of cochlear implantation in treating unilateral tinnitus (Van de Heyning et al., 2008). All subjects were adults with unilateral severe tinnitus concurrent with ipsilateral sensorineural deafness. It is worth noting that the tinnitus treatment was highly successful and subjects did not suffer from tinnitus during these experiments. Subjects were instructed to notify the experimenter if tinnitus were to resume during the experiment. When this occurred, the experiment was paused. The experiment was resumed when the tinnitus had disappeared. Background information for the three subjects is provided in Table 1.

#### Materials

All subjects received Med-El cochlear implants (Med-El GmbH, Innsbruck, Austria). ME-28 had the COMBI 40+ with M electrode array and ME-29 and ME-30 had the PULSARCI100 with FLEXSOFT electrode array. Both electrode arrays have 12 contacts which are numbered E1 to E12 from apex to base. For both arrays E1 has a distance of 30.4 mm from the marker ring which indicates full insertion into the cochlea. The inter-electrode distance of the M electrode is 1.9 mm which creates a distance of the most basal electrode to the marker ring of 9.4 mm, in contrast to 3.9 mm with the FLEXSOFT electrode array with a 2.4 mm inter-electrode spacing.

<table>
<thead>
<tr>
<th>Subject</th>
<th>Age at surgery [yrs: mo]</th>
<th>Duration of deafness at surgery [yrs]</th>
<th>Aetiology</th>
<th>Implant</th>
<th>Side</th>
<th>PTA of the non-implanted ear [dB HL]</th>
<th>Duration of implant use [mo]</th>
</tr>
</thead>
<tbody>
<tr>
<td>ME-28</td>
<td>38: 2</td>
<td>2.5</td>
<td>Labyrinth</td>
<td>COMBI 40+ M</td>
<td>Left</td>
<td>17</td>
<td>21</td>
</tr>
<tr>
<td>ME-29</td>
<td>59: 1</td>
<td>5.5</td>
<td>Hydrops</td>
<td>PULSARCI100 FLEXSOFT</td>
<td>Left</td>
<td>17</td>
<td>7</td>
</tr>
<tr>
<td>ME-30</td>
<td>22: 11</td>
<td>2.5</td>
<td>Sudden hearing loss</td>
<td>PULSARCI100 FLEXSOFT</td>
<td>Right</td>
<td>13</td>
<td>18</td>
</tr>
</tbody>
</table>

Table 1. Information on the three experimental subjects.
All three subjects were fitted with a TEMPO+ clinical speech processor. The processing strategy used was the CIS+ speech-coding strategy, using 26.7 µs/phase (ME-28) or 24.2 µs/phase (ME-29 and ME-30) biphasic pulses. The overall bandwidth was 300 to 8500 Hz.

Stimuli

All electric pulse-burst stimuli were generated in the subjects’ implanted receiver-stimulators, under the control of a laboratory interface that in turn received instructions from a digital laboratory processor. Instructions and power were transmitted to the implanted electronics from external antenna coils that were part of the laboratory interfaces. None of the clinical external electronics were involved. Two different interfaces were used, one to control ME-28’s Med-El COMBI 40+ implanted electronics and the other to control the Med-El PULSAR\textsuperscript{CI} \textsuperscript{10}\textsuperscript{th} implanted electronics of the ME-29 and ME-30.

The electric stimuli consisted of constant-amplitude pulse trains of 500 ms duration and a constant pulse rate of 1515 Hz. The pulse duration was 27 µs (ME-28) or 24 µs (ME-29 and ME-30). All electric stimuli were delivered in monopolar mode with the reference electrode under the temporalis muscle, as is standard in the COMBI 40+ and PULSAR\textsuperscript{CI} \textsuperscript{10}\textsuperscript{th}. Before the experiments, each subject’s electric thresholds and maximum comfortable level (MCL) were checked and loudness balanced.

The acoustic test stimuli consisted of tones with frequencies that were matched to the electric stimuli. This matching was done prior to the experiment and the results are presented in Table 2. For the matching procedure, the subject listened alternately to the electric stimulus and to a loudness-balanced pure tone acoustic stimulus, and adjusted the frequency of the acoustic stimulus to match the pitch of the electric one. Each trial ended when the subject reported that an exact match had been achieved, and 10 trials were conducted for each electrode with various initial settings of the acoustic stimulus. The starting frequencies for matching acoustic tones were varied widely (i.e. the starting point was well below or above the expected match). The acoustic stimuli were 500 ms in duration. All stimuli were digitally synthesized by laboratory computers, recorded as .wav digital audio files. Stimuli were delivered via an IBM PC compatible computer, using a standard PC sound card and connected to an audiomixer (Mackie Micro Series 1402-VLZ; 14-channel mic/line mixer). Stimuli were presented to the subjects over circumaural headphones (Sony MDR-V600). Three types of acoustic stimuli were used in the loudness-matching studies: pure tones (fundamental alone), complex tones with odd harmonics 1 through 9, and complex tones with all harmonics 1 through 9. For all the complex tones, the relative amplitude of the $n$-th harmonic was proportional to $1/n^2$. Pure tones (fundamentals only) were included as the simplest pitched stimulus and as a stimulus certain not to cause complex interactions between adjacent processor analysis channels. Versions with only odd harmonics were included to provide some of the additional cues present with all harmonics, but with larger spacing between adjacent partials to decrease such complex interactions between adjacent processor analysis channels.

Procedure

Throughout the experiment, subjects were asked to adjust the loudness of the acoustic stimulus while the level of the electric stimulus was kept constant. The electric stimulus was delivered first, followed by the acoustic stimulus presentation. The subject was instructed to indicate if the acoustic stimulus was softer, louder, or equally loud compared to the electric stimulus. The level of the acoustic stimulus was varied by the experimenter in response to the subject’s response, in a staircase procedure. The start value for each loudness match was randomized, with one starting point well below the match, one starting point above. Two loudness matches were obtained for each electric–acoustic

Table 2. Mean matched tone pitch (in Hz) with Electrode Position using 3 types of acoustic matching tones: fundamental only (pure tone), complex tone with odd harmonics 1–9, and complex tone with all harmonics 1–9.

<table>
<thead>
<tr>
<th>Electrode</th>
<th>ME-28</th>
<th></th>
<th>ME-29</th>
<th></th>
<th>ME-30</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Pure tone</td>
<td>Odd</td>
<td>All</td>
<td>Pure tone</td>
<td>Odd</td>
</tr>
<tr>
<td>1</td>
<td>350</td>
<td>330</td>
<td>300</td>
<td>465</td>
<td>440</td>
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<tr>
<td>2</td>
<td>400</td>
<td>360</td>
<td>300</td>
<td>405</td>
<td>410</td>
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<tr>
<td>3</td>
<td>450</td>
<td>430</td>
<td>415</td>
<td>435</td>
<td>410</td>
</tr>
<tr>
<td>4</td>
<td>520</td>
<td>515</td>
<td>430</td>
<td>415</td>
<td>410</td>
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<tr>
<td>5</td>
<td>630</td>
<td>630</td>
<td>610</td>
<td>395</td>
<td>430</td>
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<td>710</td>
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<td>8</td>
<td>1140</td>
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<td>980</td>
<td>387.5</td>
<td>410</td>
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<td>9</td>
<td>1240</td>
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<td>385</td>
<td>370</td>
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<td>365</td>
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<td>12</td>
<td>3600</td>
<td>3900</td>
<td>3300</td>
<td>377.5</td>
<td>310</td>
</tr>
</tbody>
</table>
combination. For calculation of the DRs, all 12 electrodes were tested. DR for electric stimulation was determined by the difference between the electric current amplitude levels corresponding to threshold and MCL for each electrode. DR for matched acoustic stimuli was determined by the difference between the subject’s acoustic thresholds (Figure 1) and MCL at each pitch-matched frequency. For the detailed studies of loudness growth, one relatively apical, one middle, and one basal electrode were chosen for each subject on the basis of the DR measurements. For ME-28, electrode 4 was chosen as representing a large overall dynamic range variation fairly evenly spread across the acoustic stimulus types. Electrode 8 provided a different distribution among types for a similar overall dynamic range variation. Electrode 12 was selected on the basis of its uniqueness in terms of equal dynamic ranges for electric and all three acoustic stimuli. For the same reason, electrodes 3, 5, and 12 were chosen for ME-29 and electrodes 3, 8, and 12 for subsequent studies with ME-30.

Within the electric DR, the 25%, 50%, and 75% points were loudness matched to the acoustic stimuli to create loudness growth functions.

**Results**

**Dynamic range**

Figure 2A–C show the DRs for the three subjects. The three types of acoustic stimuli (fundamentals, odd harmonics 1–9, and all harmonics 1–9) are included, as well as the DRs for the current amplitudes of the matched electric stimulus pulses.
ME-28's DRs for electric stimulation were roughly constant at 17–18 dB across electrodes (Figure 2A). The corresponding DRs for matched acoustic stimuli did not exceed 50 dB, and many were much less. There was a substantial difference in matched DR depending on the type of acoustic stimulus, with the DR for pure tone stimuli (43 dB) tending to be greater than for odd harmonic complex tones (38 dB), and both of those greater than for complex tones including all harmonics (31 dB). The large drop in ME-28's pure tone DR for electrode 5 was reproducible. The electrode 5 dip in DR was verified by repeating the measurements for electrodes 4, 5, and 6 on a later date. Test-retest reliability was within 3 dB for all 3 electrodes. For ME-28's electrode 12, all the DRs for the matched acoustic stimuli dropped to equal the 17 dB DR for electric stimulation.

As seen in Figure 2B, ME-29's DRs for electric stimulation were generally narrower and more variable across electrodes than those observed for ME-28. They varied between 1 and 18 dB. Only two of ME-29's corresponding DRs for matched pure tone stimuli exceeded 35 dB, and some were much less. There was less variation in matched DR with the different types of acoustic stimulus than for ME-28. The rather dramatic drop in the pure tone DR for electrode 2 was reproducible, similar to what was found for electrode 5 in the case of ME-28. Also here, the DR was verified by repeat measurements on a later day.

ME-30's DRs for electric stimulation were roughly comparable to those of ME-29 at around 10 dB, but with less variation across electrodes (Figure 2C). Only one of the corresponding DRs for matched acoustic stimuli approached 50 dB, and some were much less. These DRs were generally narrower than those observed for ME-28, and wider than those observed for subject ME-29. Figure 2C shows more variation in matched DR across the different types of acoustic stimulus for ME-30. The DRs for pure tone stimuli tended to be greater than for odd and all harmonic complex tones, especially for the more apical electrodes.

Loudness growth

In Figure 3A–C, loudness growth curves are displayed as relative amplitude levels of acoustic stimuli of three types (Fundamental only, Odd harmonics 19–, and All harmonics 19–), matched to current amplitudes corresponding to 25%, 50%, and 75% of the DRs of each of the three electrodes selected for each of the three subjects. The curves were normalized to matched MCLs in each case. Across all three subjects, the goal was to include choices representing a variety of locations and magnitudes of DRs. As a relatively apical location, electrode 4 was included for ME-28 and electrode 3 for ME-29 and ME-30. As a relatively medial location in the array, electrode 8 was included for ME-28 and ME-30 and electrode 5 for ME-29. The most basal electrode, number 12, was included for all three subjects.

The plots in Figure 3A–C allow the opportunity to look for correlations in terms of several variables that might be expected to influence loudness growth. ME-28 and ME-30 show steeper slopes than ME-29 (Figure 3A). A tendency of relatively basal electrodes to have gentler overall loudness growth slopes could be observed (Figure 3B). For relatively high proportions of the dynamic range, the three different types of acoustic stimuli tend to have similar loudness growth slopes. However at low levels, the fewer harmonics, the steeper the loudness growth (Figure 3C).

Discussion

We quantified the DRs of electric stimulation in three subjects. These DRs were roughly between 10 dB (ME-29 and ME-30) and 20 dB (ME-28) (Figure 2). This is in agreement with what is reported in literature (Simmons, 1966; Eddington et al., 1978; Shannon, 1983). Shannon (1983) found that DR is dependent on the stimulation rate, with the DR of high rate stimulation (1000 Hz) being narrower than the DR at lower stimulation rates (250 Hz) (18–25 dB vs. 30–40 dB). Seeing that in the experiment described here a high rate stimulation (1515 Hz) is used, this could explain why the DRs that were found are at the lower end of what is reported in the literature, DRs for electric stimulation between 6 and 30 dB.

The DRs found for electric stimulation were matched to acoustic stimulation. This was done in terms of the levels of simple and complex pitch- and loudness-matched acoustic tones heard in the contralateral normal ear. Clearly, the range of loudness experienced by the subjects over the full DR of their electric stimulation did not correspond to a perceptual range of loudness similar to that of
normal hearing (DR equal to 43 dB-30 dB-32 dB for the pure tone stimuli). This finding is in agreement with but slightly smaller than the finding by Zeng and Shannon (1992). They obtained loudness balance values between electric and acoustic stimulation in three auditory brainstem implant listeners who had substantial, even normal, acoustic hearing in the contralateral ear. Their subjects showed a matched DR between 35 and 60 dB. Dorman and colleagues (1993) estimated loudness balance in one Ineraid subject with residual hearing up to 500 Hz. They found a loudness balance value of about 60 dB when balancing a 250 Hz acoustic pure tone and an electric 250 Hz sine signal on electrodes 1 and 2. A reason for this discrepancy with the present data could be the fact that they used low-rate analog stimulation (250 Hz) whereas in the present experiment a high-rate pulsatile stimulation burst was used (1515 Hz). Although the loudness balancing data are reproducible in each condition, substantial variability was seen across subjects, electrodes and their associated pitches, and acoustic tone types. Especially in the case of ME-28 (and also ME-30), there was substantial variation in matched DR depending on the type of the acoustic stimulus involved. A possible explanation for this finding could be that a complex tone including all harmonics has more energy and therefore sounds louder than a complex tone including only odd harmonics and certainly more than pure tone stimuli. In previous reports, loudness balancing experiments were always conducted with acoustic sinusoidal stimuli (Dorman, 1993).

The second part of the current experiment was loudness growth. For that, loudness growth across the DR, as a function of relative amplitude of electric stimulation, was characterized quantitatively. This was done in terms of the levels of simple and complex pitch- and loudness-matched acoustic tones heard in the contralateral normal ear. Results showed that almost half of the 27 measured curves indicated relatively smooth, uniform loudness growth across the full measured range. In roughly one-third of the curves, loudness growth is more rapid at one end of the range than the other, more often at relatively high levels. Only about one-fifth of the curves were more complex, typically including a region of slower growth in the middle of the DR. All of the more complex curves except one were associated with subject ME-30. Hoth (2007) investigated loudness growth functions for electric stimulation in 15 adult Nucleus CI22 or CI24 users. He found that 5 general types of growth functions could be distinguished: (1) a linear growth over the whole DR, (2) a smooth initial growth (positive curvature) followed by a linear growth, (3) an S-shaped function starting with positive curvature, (4) an S-shaped function starting with negative curvature, and (5) a two-step growth. He could not find any systematic dependence of the shape and steepness of growth function on electrode position. This is not in agreement with the present study. In the present data, relatively basal electrodes tended to have gentler overall loudness growth slopes compared to more medial and apical electrodes (Figure 3B).

In a study by Fu (2005), loudness was balanced at apical and basal electrodes across the electrical dynamic range for both low rate (100 Hz) and high rate (1000 Hz) stimuli in six Nucleus CI22 subjects. At the lower stimulation rate of 100 Hz, 2 of the 6 subjects demonstrated a non-linear relationship between the loudness growth functions for the apical and basal electrodes. However, all subjects demonstrated a linear relationship between the loudness growth functions for the apical and basal electrodes at the higher stimulation rate of 1000 Hz. In our experiment, (which stimulated at 1515 Hz), we found that the loudness growth function differed between apical and basal electrodes. The explanation for the differences between the results of our experiment and Fu’s experiment are unknown. One hypothesis for the difference is that our subjects had better neural survival in the apex relative to the base, while the neural survival for the patients in Fu’s experiments was more homogenous. Fu’s subjects haved been deafened for a greater duration than ours. Two out of three of our subjects had a shorter duration of deafness (2.5 years) than the minimum duration of implant use for Fu’s subjects. It is safe to assume that Fu’s subjects had been deafened for a greater duration than their implant use.

Conclusions

Many reproducible measurements have been made based on loudness matching of stimuli between electrically stimulated and normal hearing ears. Consistency has been seen across subjects and patterns of variation across electrode position have been observed. We have confirmed that the electric dynamic range is smaller relative to acoustic dynamic range. We have demonstrated that the loudness growth function is linear across the dynamic range, although the slope may depend on the cochlear location. Research using matching techniques will allow better informed design of processing strategies for auditory prostheses.

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